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(571) 273-7343 MAIL STOP AMENDMENT Fax: Attention: Group Art Unit: 2611

Examiner: Scott Beliveau UNITED STATES PATENT AND TRADEMARK OFFICE

(571) 272-7343

Phone:

July 19, 2006 Date<u>:</u> Cover + 3 + 2 + 16 + 1 = 23Pages:

Fax (310) \$64-0941 From: Georgann S. Grunebach (310) 964-4615 Phone: Assistant General Counsel

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### CERTIFICATION OF FACSIMILE TRANSMISSION UNDER 37 CFR 1.8

I hereby certify that this correspondence identified below is being facsimile transmitted to (571) 273-7343 (Examiner Beliveau personal facsimile number), addressed to: Mall Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on July 19, 2006

July 19, 2006 (Date of Signature)

Jamet Shibata Telephone No. (310) 984-4815 (Printed Name of Person Signing Certificate)

Attention: Commissioner for Patents

Attorney Docket No. PD-990193

PAGE 5/30 \* RCVD AT 11/8/2006 6:37:19 PM [Eastern Standard Time] \* SVR:USPTO-EFXRF-3/19 \* DNIS:2738300 \* CSID:3109640941 \* DURATION (mm-ss):07-42

NOV 0 8 2006

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### PLEASE CONFIRM RECEIPT OF THIS FACSIMILE

			DIRECT
Attention:	MAIL STOP AMENDMENT	Fax:	(571) 273-7343
	Group Art Unit: 2611		
	Examiner: Scott Beliveau		
UNITED ST	TATES PATENT AND TRADEMARK OFFICE	Phone:	(571) 272-7343
Pages:	Cover + 3 + 2 + 16 + 1 = 23	Date:	July 19, 2006
From:	Georgann S. Grunebach	Fax:	(310) 964-0941
	Assistant General Counsel	Phone:	(310) 964-4615

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Jayet Shibata
Telephone No. (310) 964-4615 (Printed Name of Person Signing Certificate)

<u>July 19, 2006</u> (Date of Signature)

**Attention: Commissioner for Patents** 

Attorney Docket No. PD-990193

Please find attached Re:

Serial No.: 09/532,755

Filing Date: 03-22-2000

- AMENDMENT TRANSMITTAL (3 pages)
- INTERVIEW SUMMARY (2 pages)
- > RESPONSE TO OFFICE ACTION DATED MARCH 25, 2004 (16 pages)
- RETURN POSTCARD ACKNOWLEDGED BY PTO (1 page)

If you do not receive all pages, or pages are not clear, please call Karen Lum at (310) 984-0735.

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PATENT 20009/PD-990193

Ø 007/030

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

	) Title: "Method and Apparatus for		
Finseth et al.	Transmission, Receipt and Display of Advertisements"		
Serial No: 09/532,755			
Filed: March 22, 2000	) Group Art Unit: 2611 )		
j	Examiner: Jason J. Chung		

### AMENDMENT TRANSMITTAL

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

Sir:

Transmitted herewith is a response to the Office action pending in the above application.

#### **CERTIFICATE OF MAILING (37 CFR 1.8)**

I hereby certify that this paper and the documents referred to as enclosed therewith are being deposited with the United States Postal Service as first class mail, postage prepaid, on June 25, 2004 in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

Mark G. Hanley

PAGE 7/30 \* RCVD AT 11/8/2006 6:37:19 PM [Eastern Standard Time] \* SVR:USPTO-EFXRF-3/19 \* DNIS:2738300 \* CSID:3109640941 \* DURATION (mm-ss):07-42

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1.

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Small	Entity Status	NUV	UB	2000
	Verified statement(s) claiming small entity status is(are) attached	≽d.		
	Small entity status has been established and is still effective.			
$\boxtimes$	Has not been established.			

### 2. Fee for Claims

The fee for additional claims [(37 CFR 1.16(b)-(d)] has been calculated as shown below:

			-		SMAL	L ENTITY		ER THAN A LL ENTITY
	Claims Remaining After Amendment		st No. y Paid For	Present Extra	Rate	Additional Fee	Rate	Additional Fee
TOTAL	38	MINUS	52	=0	X 9=	\$	X18=	\$0.00
INDEP.	5	MINUS	5	=0	X43=	\$	X86=	\$0.00
First Presentation of Multiple Dependent Claim				+145=	s	+290=	S	
	ADDITIONAL					\$	OR	\$0.00

3.	Method	of Payment	of Fees
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Attached is a check in the amount of:	\$
Charge Deposit Account No. 50-2455 in the amount of:	\$

### 4. Deposit Account and Refund Authorization

The Commissioner is hereby authorized to charge any deficiency in the amount enclosed or any additional fees which may be required during the pendency of this application under 37 CFR 1.16 or 1.17 to Deposit Account No. 50-2455. A copy of this Transmittal is enclosed.

PAGE 8/30 \* RCVD AT 11/8/2006 6:37:19 PM [Eastern Standard Time] \* SVR:USPTO-EFXRF-3/19 \* DNIS:2738300 \* CSID:3109640941 \* DURATION (mm-ss):07-42

Please refund any overpayment to Grossman & Flight, LLC at the address below.

Respectfully submitted,

GROSSMAN & FLIGHT, LLC 20 North Wacker Drive Suite 4220 Chicago, Illinois 60606 (312) 580-1020

By:

Mark G. Hanley

Registration No.: 44,736

June 25, 2004

PAGE 9/30 \* RCVD AT 11/8/2006 6:37:19 PM [Eastern Standard Time] \* SVR:USPTO-EFXRF-3/19 \* DNIS:2738300 \* CSID:3109640941 \* DURATION (mm-ss):07-42

O.: erial No. 09/532,755 Attorney Docket No. PD-990193

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants	:	Finseth et al.	)	I hereby certify that this paper (and/or
U.S. Serial N	lo. :	09/532,755	)	fee) is being deposited with the United States Postal Service as first class mail
Filed	:	March 22, 2000	)	in an envelope addressed to: Mail Stop Amendment, Commissioner
Title	:	Method and Apparatus for Transmission, Receipt and Display of Advertisements	) ) )	for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this date:  RECEIVED CENTRAL FAX CENTER
			)	Dated: Tune 25, 2004 NOV 0 8 2006
Art Unit	:	2611	)	mi Max
Examiner	:	Jason J. Chung	)	- Carly

## INTERVIEW SUMMARY UNDER 37 C.F.R. § 1.133

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

On June 22, 2003, the undersigned held a scheduled telephone conference call with Examiners Jason Chung and Vivek Srivastava. Frankie Ho (Reg. No. 48,479) was also in attendance for the telephone conference call.

As an initial matter, the undersigned and Frankie Ho would like to thank Examiners Chung and Srivastava for their time and effort in preparation for and during the telephone conference call.

During the telephone conference call, the parties discussed amending the claims to obtain allowance of the above-referenced patent application. While no agreement was reached regarding particular claim language, the Knee et al. (U.S. Publication No. 2002/0095676 A1) reference was discussed. In particular, the undersigned submitted that in contrast to the system and methods disclosed in the instant application, Knee et al. teach a system that selectively displays advertisements to users based on comparisons between target PAGE 10/30 \* RCVD AT 11/8/2006 6:37:19 PM [Eastern Standard Time] \* SVR:USPTO-EFXRF-3/19 \* DNIS:2738300 \* CSID:3109640941 \* DURATION (mm-ss):07-42

Attorney Docket No. PD-990193

demographic profiles assigned to broadcast advertisements and the demographic profiles of users. The undersigned further submitted that the system and methods disclosed in the instant application selectively display advertisements to users based on comparisons between the attributes (e.g., content) of advertisements and the attributes of programs viewed by the users, thereby eliminating the need to obtain demographic information related to the users.

Respectfully submitted,

GROSSMAN & FLIGHT, LLC 20 N. Wacker Dr. Suite 4200 Chicago, IL 60606

Dated: June 25, 2004

By:

Mark G. Hanley

Reg. No. 44,736 Attorney for Applicants

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	56/PLAL -	The Patent Office is hereby requested to acknowledge receipt of the following papers by stamping and returning this postcard.	
· <b></b>		U.S. Serial No. 09/532 755	gamanagam <del>agaa</del> gaan anar angir 174 - 1749 - 168 <sup>8</sup> 18818 f
·	:	Inventors: Finseth et al.  For: "Method and Apparatus for Transmission, Receipt and Display of Advertisements"	
		⊢ <sup>1</sup> / <sub>1</sub> 2 2 2 5 1	
	:	Response to Office Action Days	
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UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspio.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO	
09/532,755	03/22/2000	Craig A. Finseth	PD-990193	8261	
20991 75	90 10/11/2006		EXAM	INER	
	V GROUP INC		BELIVEAU	a TT002.	
PATENT DOC: P O BOX 956	KET ADMINISTRATION	RE/R11/A109	ART UNIT	PAPER NUMBER	
	, CA 90245-0956		2623		
		RECEIVED	DATE MAILED: 10/11/200	6	
		OCT 16 2006			
		PAT ENTS & LICENSING CORPORATE OFFICE			

Please find below and/or attached an Office communication concerning this application or proceeding.

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## NOV 0 8 2006

	Application No.	Applicant(s)					
44.40.	09/532,755	FINSETH ET AL.					
Notice of Abandonment	Examiner	Art Unit					
	Scott Beliveau	2623					
- The MAILING DATE of this communication app	· · · · · · · · · · · · · · · · · · ·						
This application is abandoned in view of:	This application is abandoned in view of:						
<ol> <li>Applicant's failure to timely file a proper reply to the Office letter mailed on <u>25 March 2004</u>.</li> <li>A reply was received on (with a Certificate of Mailing or Transmission dated), which is after the expiration of the period for reply (including a total extension of time of month(s)) which expired on</li> </ol>							
(b) A proposed reply was received on, but it does							
(A proper reply under 37 CFR 1.113 to a final rejection application in condition for allowance; (2) a timely filed Continued Examination (RCE) in compliance with 37 (	Notice of Appeal (with appeal fee); of						
(c) A reply was received on but it does not constitutional rejection. See 37 CFR 1.85(a) and 1.111. (See		mpt at a proper reply, to the non-					
(d) 🖾 No reply has been received.							
2.  Applicant's failure to timely pay the required issue fee and from the mailing date of the Notice of Allowance (PTOL-8		the statutory period of three months					
(a) The Issue fee and publication fee, if applicable, was, which is after the expiration of the statutory property Allowance (PTOL-85).							
(b) The submitted fee of \$ is insufficient. A balance of \$ is due.							
The issue fee required by 37 CFR 1.18 is \$ The publication fee, if required by 37 CFR 1.18(d), is \$							
(c) ☐ The issue fee and publication fee, if applicable, has not been received.							
<ol> <li>Applicant's failure to timely file corrected drawings as requ Allowability (PTO-37).</li> </ol>	uired by, and within the three-month p	eriod set in, the Notice of					
<ul> <li>(a) Proposed corrected drawings were received on</li></ul>	_(with a Certificate of Malfing or Trans	smission dated), which is					
(b) ☐ No corrected drawings have been received.							
<ol> <li>The letter of express abandonment which is signed by the the applicants.</li> </ol>	attorney or agent of record, the assi	gnee of the entire interest, or all of					
<ol> <li>The letter of express abandonment which is signed by an 1.34(a)) upon the filing of a continuing application.</li> </ol>	attorney or agent (acting in a represe	entative capacity under 37 CFR					
<ol><li>The decision by the Board of Patent Appeals and Interference of the decision has expired and there are no allowed claim</li></ol>		e the period for seeking court review					
7. 🗵 The reason(s) below:							
Per office records - no official response has been received to date. Persuant to a conversation with G.Gruebach on 19 July 2006, it was indicated that an official response had been sent and that applicants had in their possesion a return receipt postcard. While an unoffical copy of the response was provided to the examiner, no official response was submitted as required,  Scott Beliveau  Primary Examiner  Art Unit: 2623							
Pelitions to revive under 37 CFR 1.137(a) or (b), or requests to withdra minimize any negative effects on patent term. 5. Patent and Trademark Office	elitions to revive under 37 CFR 1.137(a) or (b), or requests to withdraw the holding of abandonment under 37 CFR 1.181, should be promptly filed to ninimize any negative effects on patent term.						

U.S. Patent and Trademark Office PTOL-1432 (Rev. 04-01)

Notice of Abandonment

Part of Paper No. 20081003